

### EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. Mark Williams (Reg. # 64,425) on 10/04/2010.

#### In the claims

1. (Currently Amended) A method, comprising:

transmitting, by a computer based system for managing financial data, a standardized template for capturing remote financial data and a customization tool to a plurality of remote terminals, wherein the standardized template is operable on a plurality of operating systems, ~~wherein the standardized template is customized with the customization tool to create a customized template for at least one of the remote terminals, wherein the customized template is created at the remote terminal, and wherein at least one of the standardized template and the customized template is associated with a financial data system at each of the remote terminals;~~

creating, at the remote terminal a customized template, wherein the standardized template is customized with the customization tool to create a customized template, and wherein at least one of the standardized template and

the customized template is associated with a financial data system at each of the remote terminals;

transmitting, by the computer based system, a request for financial data to the plurality of remote terminals, ~~wherein each of the remote terminals extracts data from the financial data system to at least one the standardized template and the customized template, in response to the request for financial data, and wherein data from each of the remote terminals is extracted to at least one of the standardized template and the customized template at each of the remote terminals;~~

extracting financial data from the financial data system to at least one of the standardized template and the customized template at each of the remote terminals, in response to the request for financial data;

converting, the remote financial data from a second format to a first format via the standardized template at a first remote terminal, wherein the first remote terminal stores remote financial data in the second format which is receivable by the standardized template;

converting, the remote financial data from a third format to a first format via the customized template at a second remote terminal, wherein the second remote terminal stores remote financial data in the third format which is receivable by the customized template;

receiving, by the computer based system, the remote financial data from the plurality of remote terminals via the standardized template and the

customized template, wherein the remote financial data is received in the first format wherein the data contained in the standardized template is contained within a first format, wherein data from each of the remote terminals is extracted to at least one of the standardized template and the customized template at each of the remote terminals, and wherein a first remote terminal stores remote financial data in a second format which is receivable by the standardized template, and wherein a second remote terminal stores remote financial data in a third format which is receivable by the customized template, and wherein the standardized template is configured to convert the remote financial data from the second format to the first format at the first remote terminal and the customized template is configured to convert the remote financial data from a third format to the first format at the second remote terminal;

matching, by the computer based system, the remote financial data from the standardized template and the customized template to a master financial data based on a set of predetermined rules, wherein the master financial data is stored in a first format;

identifying, by the computer based system, an un-reconciled amount based on the matching;

analyzing, by the computer based system, the un-reconciled amount;

determining, by the computer based system, a classification of the un-reconciled amount;

transmitting, by the computer based system, a notification in response to the classification of the un-reconciled amount;

processing, by the computer based system, the un-reconciled amount based on the classification; and

updating, by the computer based system, the master financial data based on the remote financial data.

28. (Currently Amended) A tangible non-transitory computer-readable storage medium having computer-executable instructions stored thereon that, [if] when executed by a computer based system for managing financial data, cause the computer based system to perform operations comprising:

transmitting, by [a] the computer based system for managing financial data, a standardized template for capturing remote financial data and a customization tool to a plurality of remote terminals, wherein the standardized template is operable on a plurality of operating systems, ~~wherein the standardized template is customized with the customization tool to create a customized template for at least one of the remote terminals, wherein the customized template is created at the remote terminal, and wherein at least one of the standardized template and the customized template is associated with a financial data system at each of the remote terminals;~~

creating, at the remote terminal a customized template, wherein the standardized template is customized with the customization tool to create a

customized template, and wherein at least one of the standardized template and the customized template is associated with a financial data system at each of the remote terminals;

transmitting, by the computer based system, a request for financial data to the plurality of remote terminals, ~~wherein each of the remote terminals extracts data from the financial data system to at least one the standardized template and the customized template, in response to the request for financial data, and wherein data from each of the remote terminals is extracted to at least one of the standardized template and the customized template at each of the remote terminals;~~

extracting financial data from the financial data system to at least one of the standardized template and the customized template at each of the remote terminals, in response to the request for financial data;

converting, the remote financial data from a second format to a first format via the standardized template at a first remote terminal, wherein the first remote terminal stores remote financial data in the second format which is receivable by the standardized template;

converting, the remote financial data from a third format to a first format via the customized template at a second remote terminal, wherein the second remote terminal stores remote financial data in the third format which is receivable by the customized template;

receiving, by the computer based system, the remote financial data from the plurality of remote terminals via the standardized template and the customized template, wherein the remote financial data is received in the first format wherein the data contained in the standardized template is contained within a first format, wherein data from each of the remote terminals is extracted to at least one of the standardized template and the customized template at each of the remote terminals, and wherein a first remote terminal stores remote financial data in a second format which is receivable by the standardized template, and wherein a second remote terminal stores remote financial data in a third format which is receivable by the customized template, and wherein the standardized template is configured to convert the remote financial data from the second format to the first format at the first remote terminal and the customized template is configured to convert the remote financial data from a third format to the first format at the second remote terminal;

matching, by the computer based system, the remote financial data from the standardized template and the customized template to a master financial data based on a set of predetermined rules, wherein the master financial data is stored in a first format;

identifying, by the computer based system, an un-reconciled amount based on the matching;

analyzing, by the computer based system, the un-reconciled amount;

determining, by the computer based system, a classification of the un-reconciled amount;

transmitting, by the computer based system, a notification in response to the classification of the un-reconciled amount;

processing, by the computer based system, the un-reconciled amount based on the classification; and

updating, by the computer based system, the master financial data based on the remote financial data.

29. (Currently Amended) A computer based system for managing financial data comprising:

a network interface communicating with a memory;

the memory communicating with a processor; and

the processor, when executing a computer program, is configured to:

transmit a standardized template for capturing remote financial data and a customization tool to a plurality of remote terminals, wherein the standardized template is operable on a plurality of operating systems, ~~wherein the standardized template is customized with the customization tool to create a customized template for at least one of the remote terminals, wherein the customized template is created at the remote terminal, and wherein at least one of the standardized template and the customized template is associated with a financial data system at each of the remote terminals;~~

create a customized template at the remote terminal, wherein the standardized template is customized with the customization tool to create a customized template for at least one of the remote terminals, and wherein at least one of the standardized template and the customized template is associated with a financial data system at each of the remote terminals;

transmit, by the processor, a request for financial data to the plurality of remote terminals, wherein each of the remote terminals extracts data from the financial data system to at least one the standardized template and the customized template, in response to the request for financial data and wherein data from each of the remote terminals is extracted to at least one of the standardized template and the customized template at each of the remote terminals;

extract financial data from the financial data system to at least one of the standardized template and the customized template at each of the remote terminals, in response to the request for financial data;

convert the remote financial data from a second format to a first format via the standardized template at a first remote terminal, wherein the first remote terminal stores remote financial data in the second format which is receivable by the standardized template;

convert the remote financial data from a third format to a first format via the customized template at a second remote terminal, wherein the second



remote terminal stores remote financial data in the third format which is receivable by the customized template;

receive, by the processor, the remote financial data from the plurality of remote terminals via the standardized template and the customized template, wherein the remote financial data is received in the first format wherein the data contained in the standardized template is contained within a first format, wherein data from each of the remote terminals is extracted to at least one of the standardized template and the customized template at each of the remote terminals, and wherein a first remote terminal stores remote financial data in a second format which is receivable by the standardized template, and wherein a second remote terminal stores remote financial data in a third format which is receivable by the customized template, and wherein the standardized template is configured to convert the remote financial data from the second format to the first format at the first remote terminal and the customized template is configured to convert the remote financial data from a third format to the first format at the second remote terminal;

match, by the processor, the remote financial data from the standardized template and the customized template to master financial data based on a set of predetermined rules, wherein the master financial data is stored in a first format;

identify, by the processor, an un-reconciled amount based on the matching;

analyze, by the processor, the un-reconciled amount;

determine, by the processor, a classification of the un-reconciled amount;  
transmit, by the processor, a notification in response to the classification of  
the un-reconciled amount;  
process, by the processor, the un-reconciled amount based on the  
classification; and  
update, by the processor, the master financial data based on the remote  
financial data.

#### **REASON(S) FOR ALLOWANCE**

The following is an examiner's statement of reasons for allowance:

Applicant's invention is patentable over the prior art of record due to the  
limitations of independent claim 1: inter alia

extract financial data from the financial data system to at least one of the  
standardized template and the customized template at each of the remote  
terminals, in response to the request for financial data;

convert the remote financial data from a second format to a first format via  
the standardized template at a first remote terminal, wherein the first remote  
terminal stores remote financial data in the second format which is receivable by  
the standardized template;

convert the remote financial data from a third format to a first format via  
the customized template at a second remote terminal, wherein the second

remote terminal stores remote financial data in the third format which is receivable by the customized template; and

receive, by the processor, the remote financial data from the plurality of remote terminals via the standardized template and the customized template, wherein the remote financial data is received in the first format.

Prior art, US 20050114239 (Fiascone et al. – hereinafter “Fiascone”) discloses a method and system for reconciling firm account data and exchange account data. Firm account data and exchange account data are compared and discrepancies are displayed on a display device. A user may receive additional information about the data that does not match by selecting appropriate hyperlinks or other navigational items. The user may also enter adjustments.

However, Fiascone fails to teach using a standardized and/or customized template at the remote terminals to extract and convert financial data from a plurality of formats to a common format, before transmitting the financial data to the computer-based system for reconciliation.

Furthermore, US 20040059597 (Tkaczyk et al. – hereinafter “Tkaczyk”) teaches a method for managing clinical study (CS) information for a clinical research entity using a server system coupled to a centralized database and at least one client system is provided. The centralized database has a plurality of templates stored therein. The method includes receiving at the server system CS information relating to at least one patient involved in a clinical study wherein the CS information is entered through a user

selected template displayed on the client system, storing CS information received at the server system in the centralized database, tracking CS information stored in the centralized database, updating the centralized database periodically with newly received CS information to maintain CS information, and providing CS information in response to an inquiry.

However, Tkaczyk does not disclose using a standardized and/or customized template at the remote terminals to extract and convert financial data from a plurality of formats to a common format, before transmitting the financial data to the computer-based system for reconciliation.

Independent claims 28 and 29 recite similar limitations and are patentable over the cited references for similar reasons as disclosed above for claim 1.

The dependent claims are allowable over the prior art based on their dependence on the independent claim.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OLUSEGUN GOYEA whose telephone number is (571)270-5402. The examiner can normally be reached on Monday through Thursday, 8:00am to 5:00pm (ET).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew Gart can be reached on (571)272-3955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/O. G./  
Examiner, Art Unit 3687  
10/05/2010

/Matthew S Gart/  
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